



MAIL STOP PCT

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Thomas ERNST, et al.

SERIAL NO: 10/576,145

GAU:

FILED: April 18, 2006

EXAMINER:

FOR: FIELD-EFFECT MICROELECTRONIC DEVICE, CAPABLE OF FORMING ONE OR SEVERAL TRANSISTOR CHANNELS

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /D.M.

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Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 289925US6PCT		SERIAL NO. 10/576,145	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Thomas ERNST, et al.		GROUP	
				FILING DATE April 18, 2006			
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	5 780 911	07/14/98	PARK et al.			
	AB	6 562 665	05/13/03	YU			
	AC	6 127 702	03/10/00	YAMAZAKI et al.	10/2000		
	AD	5 965 914	10/12/99	MIYAMOTO	/D.M./		02/19/2009
	AE	6 413 802	07/02/02	HU et al.			
	AF	5 675 164	10/07/97	BRUNNER et al.			
	AG	6 355 532	03/12/02	SELISKAR et al.			
	AH	2002/0093053	07/18/02	CHAN et al.			
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO		
	AO						
	AP						
	AQ						
	AR						
	AS						
	AT						
	AU						
	AV						
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AW	KIM, Sung-Min et al., "A Novel MBC (Multi-Bridge-Channel) MOSFET: Fabrication Technologies and Characteristics", Silicon Nanoelectrics Workshop, Pages 18-19, 2003.					
	AX						
	AY						
	AZ					<input type="checkbox"/> Additional References sheet(s) attached	
Examiner /Douglas Menz/					Date Considered 02/19/2009		

*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /D.M./

U.S. PCT Application Serial No: 10/576,145
Filed: April 18, 2006
Thomas ERNST, et al.
Docket No. 289925 US



STATEMENT OF RELEVANCY

- 1) References AA - AF have been cited in the International Search Report. A copy of these references is being submitted herewith.
- 2) Reference AH has been cited in the corresponding International Preliminary Examination Report. A copy of this reference is being submitted herewith.
- 3) References are discussed in the specification. A copy of these references is being submitted herewith.
- 4) References AG & AW are additional prior art known to Applicant. A copy of these references is being submitted herewith.